# ANNUAL REPORT

### EVERYTHING, EVERYT

# nada

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# **GIVE EVERYTHING, TAKE NOTHING**



Executive Board: Dr. Andrea Gotzmann and Dr. Lars Mortsiefer

For clean performance – this is NADA's vision, strikingly manifested in its new initiative called GIVE EVERYTHING, TAKE NOTHING. NADA has thus created a platform for all those who wish to demonstrate that they are in favor of clean sport.

For clean performance – this has been the focus of NADA's work for the past eleven years. Financial difficulties have unfortunately never been far away since we started our work back in 2002. In 2013, too, there were protracted worries before the budget for 2014 could be wrapped up. For good anti-doping work, however, long-term financing and planning security are indispensable. That is our goal. When all stakeholders participate in NADA's financing, then not only will our future be secured but we shall also benefit from maximized autonomy.

For clean performance – towards this goal, qualitative work was also driven forward in 2013 on the two cornerstones of anti-doping work, the Testing Program and Prevention. The Athlete Biological Passport was progressed, insights gained from the Task Force and from collaborative arrangements with international partners were put to good use. There is very close cooperation between the anti-doping organizations from Germany, Austria and Switzerland, operating under the acronym of D-A-CH. The three prevention departments joined forces to develop the mobile game "Born to Run", which vividly communicates the rules and the risks of doping by means of an entertaining game, aimed particularly at young people – a good example of how synergies can be tapped on a cross-frontier basis.

NADA's partners also contributed towards progressing anti-doping work in 2013. Firstly, the two WADA-accredited laboratories in Cologne and Kreischa, which are globally acknowledged in the field of analytics and enable NADA to already use numerous additional analyses as standard practice that other anti-doping organizations do not as yet possess in their programs, and the approximately 120 test officers of the service provider Professional Worldwide Controls (PWC).

The study entitled "Doping in Germany", published in 2013, revealed important aspects of the past, as indeed have previous research papers. From the viewpoint of NADA, which was supportively involved in this study right from the start, the results are also important for the present and the future. NADA sees this as validation of its strategy in according a high priority to prevention and upgrading the independence of anti-doping work. No less important is the necessity for out-of-competition and in-competition tests, plus result management, to be handled by NADA. The new WADA Code, which will come into force as from 2015, underlines these demands.

With its new initiative, supported by top-ranking athletes, and designed to raise public awareness of the issues, NADA confidently faces the future.

Dr. Andrea Gotzmann Chairperson of NADA´s Executive Board

/Ór. Lars Mortsiefer Member of NADA´s Executive Board

# NADA'S SUPERVISORY BOARD

NADA's Supervisory Board is composed of representatives from the political, the sports communities (with the Athletes' Commission) and from society. It is convened normally three times a year, and monitors the activities of the Executive Board, which is responsible for operative business, as an independent controlling body.

# Chairman of NADA's Supervisory Board Prof. Hans Georg Näder (Managing Partner of Otto Bock)

# The members of NADA's Supervisory Board:

- the President of the German Olympic Sports Confederation, or a person appointed by him, who shall be a member of the German Olympic Sports Confederation Executive Board. This is represented by Dr. Michael Vesper (Director General of the German Olympic Sports Confederation),
- the Chairperson of the standing conference of the toplevel-sport federations, or a person appointed by him from the standing conference of the top-level-sport federations. This is represented by Rainer Brechtken (President of the German Gymnastics Federation),
- 3. a person appointed by the Athletes' Commission of the German Olympic Sports Confederation. This is represented by Silke Kassner (Athletes' Commission of the German Olympic Sports Confederation), at the same time deputy Chairperson of NADA's Supervisory Board,
- the German Federal Minister of the Interior, or a person appointed by him from the ministry. This is represented by Gerhard Böhm (Head of the Sport Division of the German Federal Ministry of the Interior),
- the Chairperson of the Sports Committee of the German Parliament, or a member of the Sports Committee appointed by the committee. This is represented by Dagmar Freitag (member of the German Parliament (MP), Chairperson of the Sports Committee of the German Partiament),
- 6. the Chairperson of the Sport Ministers' Conference, or a person appointed by him from the Sport Minis-



NADA's Supervisory Board in 2014

ters' Conference of State Sports Ministers. This was until September 2013 represented by **Boris Rhein (the then Minister of the Interior of the State of Hesse)**, and has as from September 2013 been represented by **Peter Beuth (the Hessian Minister of the Interior and for Sport)**,

7. three further Supervisory Board members, who must be appointed by the ex officio Supervisory Board members (Nos. 1 – 6 above) and who must not belong to any of the institutions listed under Nos. 1 - 6 above, but shall in particular come from the fields of business and academia; note that here representatives from companies financially supporting the foundation are to be given preference. These include Prof. Hans Georg Näder as the Chairman of the Supervisory Board (Managing Partner of the Otto Bock group of companies) and Dr. Michael Ilgner (Board Chairman of the German Sports Aid Foundation). One position was vacant in 2013 but was filled again on the occasion of the Supervisory Board's 11<sup>th</sup> meeting in May 2014, by Prof. Dr. Christian J. Strasburger (Head of the Clinic for Endocrinology, Diabetes and Nutritional Medicine at the Charité – Berlin).

# NADA NEEDS PLANNING SECURITY



Prof. Hans Georg Näder, Chairman of NADA's Supervisory Board Foto: ,,company Otto Bock"

**S**port's fascination is unbroken. For me personally, as a member of the Paralympic Family, my passion for sport grows with every encounter. In addition, though, sport also possesses major social significance and a role-model effect, particularly for young people. It is a great responsibility for all of us to preserve these values of fairness, tolerance and equality of opportunity for the sporting community.

NADA has for more than eleven years now been campaigning for clean sport, for fair competitions, for the athletes who want to give a clean and fair performance. With its new initiative, called "GIVE EVERYTHING, TAKE NOTHING" and with its vision "for clean performance", NADA renders these goals even more immediate to the general public.

These are ambitious goals that NADA is targeting and in order to achieve them we need not only intensive commitment on a daily basis, but also a high level of can-do competence, something that the NADA team has purposefully built up over the past eleven years. Our task is to preserve and upgrade this competence in all areas, so that NADA is equipped to meet and master the continuingly exigent challenges of the future. After all, the challenges we face are becoming progressively greater.

As the Chairman of NADA's Supervisory Board, my paramount concern is to secure the foundation's future. This primarily entails ensuring fit-for-purpose, financial resources invested for long-term viability. NADA needs planning security. I have made this to my personal priorities since taking office. I want to see NADA placed on a financially secure footing. Which means it also has to be an attractive proposition for possible partners. Only a few business enterprises have so far declared a clear and unequivocal commitment to anti-doping work and thus to NADA. It is accordingly important that the foundation revamps its image for the groupings targeted and offers potential partners a platform for committing to clean sport.

With this new initiative we aim to ensure that not only athletes and the general public commit to fair sport, but the business community as well. Foundation for all this was the kickoff in Berlin on 28 January 2014.

We are relying on the commitment of all stakeholders to make sure that in the future, too, NADA can continue and still further expand its successful work for fairness and equality of opportunity.

Georg Näder

Chairman of NADA's Supervisory Board

# **TESTING PROGRAM**

Continuous enhancement of the Testing Program is one of its major focuses. A crucial element in this context is taking over in-competition testing, something that is in Germany still being largely handled and implemented by the sport federations themselves. This procedural approach is a relic from NADA's founding era: in its early years, NADA's circumstances, in terms of both finances and human resources, did not immediately permit it to handle all of the testing itself. By taking over more in-competition testing routines, NADA has since 2008 been working on putting in place an independent and standardized Testing Program, covering both out-of-competition and in-competition tests, so as to render the tests even more unpredictable and effective, and also, of course, to exploit the concomitant synergies.

For performing its testing routines, NADA primarily relies on the services of the company Professional Worldwide Controls (PWC), with whom it has been cooperating for several years now, for both out-of-competition and in-competition testing. So as to be optimally prepared to meet and master the challenges the future holds, NADA in early 2014 signed a contract with Global Quality Sports GmbH (GQS) as another service provider for conducting in-competition tests.

### **Out-of-competition testing**

NADA's track record, at 8,106 tests conducted, was above the 8,000 mark yet again in 2013. One of the main priorities in this year was the tests for the athletes taking part in the Olympic Winter Games in Sochi in February 2014. One year previously, in February 2013, NADA had started to focus more closely on all potential Olympic competitors. The stipulation was to have tested each candidate at least once before the nomination deadline, but - needless to say - the test number for most of the Olympic competitors, especially in the sporting disciplines of Risk Category A, was significantly higher, running at up to 16 tests per athlete. NADA continued conducting tests on German athletes abroad at a similarly high level as in 2012. As part of Olympics-prompted testing, NADA has in 2013 once more sent test officers to countries far away, e.g. to New Zealand and South America. All in all, tests were conducted in 25 different countries.

# **Blood tests in team sports**

As a matter of principle, NADA decides in what sporting discipline it conducts what types of test. Since 2007, blood tests have been among the standard routines for the sporting disciplines of Risk Category A. They were, however, also conducted in some other disciplines. Since 2013, blood tests have been part of the standard program in the team sports of basketball, ice hockey, football and handball.



Map of NADA's controls in Europe

The various forms of blood tests (serum or full blood counts) constitute a necessary supplement to the urine tests. Both types of test together enable all biochemical options of analysis available to be utilized: a sensible combination of urine and blood tests renders the Testing Program even more versatile. But blood testing cannot replace urine tests; it still holds true that direct proof of presence of most of the prohibited substances can be acquired only on the basis of urine samples: about 300 different substances and their decomposition products can be detected that way. In addition, urine analyses supply information on endogenous substances that are important for the Athlete Biological Passport (steroid profile module). Blood samples serve to provide direct evidence of the growth hormone (hGH), the EPO derivative CERA (continuous erythropoiesis receptor activator), Hematide<sup>™</sup>, homologous blood transfusions and hemoglobin-based oxygen carriers (HBOCs). In the field of endurance sports, in particular, various blood parameters are additionally evaluated for the Athlete Biological Passport (blood profile module), which render changes, and thus any suspicious circumstances, detectable. International cooperation takes on a progressively more important role in this context since the goal involved is this: one athlete, one passport. This is conditional on exchange of information between the individual institutions concerned. So as to comply with the requirements



NADA's control planner explains at the jounalists workshop 2013 how a urine control works.

applying for data protection, there must be agreements in force. In 2013, NADA had already concluded corresponding agreements with the international sport federations for the following disciplines: FIS (skiing), IBU (biathlon), ISU (speed skating), FINA (swimming), FISA (rowing) and IAAF (athletics). In 2013 as well, NADA had created blood profiles for approx. 700 athletes.

In 2013, NADA supported and gave proactive assistance to the PWC's pilot phase for introducing a paperless form for controls. The advantages this provides are obvious: making the test officers' and the athletes' work easier, and speeding up feedback for intelligent test planning. NADA is one of the world's first agencies to utilize this innovative form of documenting the collection of samples.

# In-competition testing

Yet another qualitative enhancement of the Testing Program can be brought about by sensible coordination and planning of both out-of-competition and in-competition tests. One vital precondition for this is that NADA, as an independent organization, is also responsible for all incompetition tests in Germany.

In 2008, NADA took over the first in-competition tests from the federations. While it was 226 tests (4.6 percent) in the

first year, the figure for in-competition tests organized by NADA in 2013 had risen to around 1,260 out of a total of 5,311 (23.7 percent). The sum total of in-competition tests, though, will also always depend on whether and how many major sporting events are being held in Germany in the year in question.

Federations that have delegated in-competition tests to NADA
General German University Sport Federation
German Bobsleigh Federation
German Boxing Federation
German Chess Association
German Curling Federation
German Equestrian Sport Association
German Federation for Modern Pentathlon
German Golf Federation
German Hockey Association
German Icehockey Association
German Motor Sport Association
German Mountaineering Federation
German Pétanque Federation
German Ski Federation
German Speed Skating Federation
German Sport Acrobatics Association

Federations that have delegated in-competition tests to NADA
German Tabletennis Association
German Taekwondo Union
German Tennis Association
German Triathlon Union
German Waterskiing and Wakeboard Federation
German Weightlifting Federation
German Weight Triathlon and Tug-of-War Federation
German Handball League
German Handball League Women
German Icehockey League
Second German Icehockey League
Cyclist Federation for Rhineland-Palatinate
Sauerland Event

# **Development of in-competition tests**

Year	Total	NADA	Percentage
2008	4.900	226	4,6
2009	4.878	408	8,4
2010	5.132	849	16,5
2011	5.087	1.056	20,8
2012	5.480	1.076	19,6
2013	5.311	1.260	23,7

On the international scale, it is common practice for the independent national anti-doping agencies to conduct both out-of-competition and in-competition tests. The 2015 WADA Code now includes an explicit stipulation to that effect.

# Medication tests on horses

Out-of-competition medication testing on horses, newly added to NADA's remit in 2012, has been further upgraded. In 2013, NADA conducted 237 tests on squad horses of the Olympic disciplines of jumping, dressage and eventing. Good preparation work for this Testing Program has paid off: in the meantime, the tests have become firmly established and accepted by the riders. While – as in human sports – NADA is responsible for test planning, sampling itself is performed by the company PWC Animal Control GmbH. The samples collected are then analyzed in the Institute for Biochemistry at the German Sport University in Cologne. So far, only substances were detected that were permitted for the treatment of horses. These substances have to be documented in the treatment book, which every squad rider has to keep. This means that in 2013 no violations of anti-doping rules were found in out-of-competition testing on horses.



An out-of-competition control on horses

# Analytics

Research into new, and optimization of existing detection procedures, both contribute towards a continuous upgrading process for the Testing Program. The two WADAaccredited laboratories in Germany, the Institute for Biochemistry at the German Sport University in Cologne and the Institute of Doping Analysis and Sports Biochemistry Dresden in Kreischa, had again included many additional analyses in their programs in 2013. NADA makes routine use of the additional analyses offered by the German laboratories.

NADA's standard remit also includes the freezing of samples, and their retrospective analysis with new detection procedures. For example: fine-tuned analytical methods for the substances stanozolol and dehydrochlormethyltestosterone (also known under the trade name of Oral-Turinabol®) were as from the end of 2012 used to check 3,500 NADA samples collected from German athletes, almost all of them producing a negative result. The two German laboratories had been using this new method, which renders the prohibited agents detectable in the urine for a considerably longer period, to identify numerous positive samples of foreign athletes.

All samples, that contained conspicuously high values of AICAR were frozen and re-analyzed in spring 2014 with a new detection method. All samples were negativ. From now on generally all samples, that contain high values of AICAR are being analysed with this method.

It is in exactly the same way that all serum samples, for example, are put in long-term storage, in due compliance with the rules, at -80 degrees Celsius. This is the only way to ensure that complex peptides – if they are present in the samples – are preserved in the long term, and proof of their presence can be obtained. These samples are also checked for growth hormones as soon as the validity of the decision limits for the growth-hormone test have been confirmed by WADA. In addition to the isoform test, another detection procedure is available referred to as the marker test, which features different detection times. In this field, in particular, NADA has been initiating a comprehensive project for reanalyzing samples from long-term storage, so as to make optimum use of both these tests. Sensible planning of repeated analyses of deep-frozen samples is a vital precondition for maintaining a sustainable Testing Program.

# Honorary Commission Testing Program

Chair

• Armin Baumert

### Members

- Christian Breuer
- Dr. Hans Geyer
- Joachim Große
- Prof. Dr. Andreas Hohmann
- Prof. Dr. Wilfried Kindermann
- Volker Laakmann

### **NADA Office**

• Daniel Fetzer

# Out-of-competition tests 2013

Sport	Total	Urine	Blood
American Football	33	29	4
Athletics	1.105	823	282
Badminton	18	18	
Ballroom Dancing	35	35	
Base- und Softball	74	74	
Basketball	87	73	14
Bobsleigh	283	283	
Boxing	156	131	25
Canoeing	553	423	130
Curling	23	23	
Cycling	516	255	261
Deaf Sport	21	21	
Disabled Sport	165	164	1
Equestrian Sport (Horseman)	23	23	
Fencing	62	62	
Fieldhockey	87	87	
Figure Scating	32	32	
Football	573	534	39
Golf	10	10	
Gymnastics	95	95	
Handball	145	129	16
Icehockey	435	391	44
obuL	49	49	
Ju-Jutsu	23	23	
Karate	19	19	
Livesaving/Lifeguard Sport	16	16	

Sport	Total	Urine	Blood
Minigolf	14	14	
Modern Pentathlon	10	10	
Ninepin	37	37	
Powerlifting	29	22	7
Roller Sport	33	33	
Rowing	552	459	93
Rugby	66	66	
Sailing	19	19	
Scuba Diving	15	15	
Shooting	17	17	
Skiing	655	447	208
Snowboarding	32	32	
Speed Skating (and Shorttrack)	421	297	124
Sport Acrobatics	12	12	
Squash	7	7	
Swimming	432	285	147
Tabletennis	19	19	
Taekwondo	12	12	
Tennis	23	22	1
Triathlon	665	445	220
Volleyball	89	89	
Waterskiing and Wakeboarding	21	21	
Weight Triathlon (and Tug-of-War)	16	16	
Weightlifting	230	169	61
Wrestling	42	42	
Total	8.106	6.429	1.677

# In-competition test 2013

Sport	Total	Urine	Blood
Air Sport	6	6	
American Football	6	6	
Athletics	411	405	6
Badminton	20	20	
Ballroom Dancing	55	55	
Base- and Softball	20	20	
Basketball	144	144	
Billard	20	20	
Bobsleigh	12	12	
Boxing	93	93	
Canoeing	193	193	
Chess	12	12	
Curling	6	6	
Cycling	469	469	
Deaf Sport	9	9	
Disabled Sport	107	107	
Equestrian Sport (Horseman)	18	18	
Fencing	36	36	
Fieldhockey	34	34	
Figure Skating	18	18	
Football	1.720	1.720	
Golf	20	20	
Gymnastics	27	27	
Handball	104	104	
lcehockey	76	76	
lcestock	22	22	
Judo	83	83	
Ju-Jutsu	5	5	
Karate	20	20	
Livesaving/Lifeguard Sport	28	28	
Minigolf	12	12	
Modern Pentathlon	6	6	

Sport	Total	Urine	Blood
Motorsport	36	36	
Mountaineering	10	10	
Ninepin	9	9	
Pétanque	12	12	
Powerlifting	123	123	
Roller Sport	27	27	
Rowing	45	45	
Rugby	6	6	
Sailing	6	6	
Scuba Diving	32	32	
Shooting	231	231	
Skiing	63	63	
Snowboarding	6	6	
Speed Skating (and Shorttrack)	85	85	
Sport Acrobatics	6	6	
Squash	8	8	
Swimming	174	174	
Tabletennis	28	28	
Taekwondo	6	6	
Tennis	30	30	
Triathlon	367	166	201
Volleyball	66	66	
Waterskiing and Wakeboarding	6	6	
Weightlifting	38	38	
Weight Triathlon (and Tug-of-War)	10	10	
Wrestling	46	46	
Federations with special tasks			
Catholic Sport Federation for Germany	7	7	
General German University Sports Federation	16	16	
Total	5.311	5.104	207

Figures are taken from the information of the federations

# LEGAL MATTERS

One of the principal focuses of the Legal Department's work in 2013 was the preparations for the new version of the 2015 WADA Code, which was published in November. NADA has coordinated discussions concerning revision proposals with its German stakeholders, and has since the new code's publication assumed overall responsibility for its implementation.

# **Review of the WADA Code**

Together with its stakeholders (the German Federal Ministry of the Interior, the German Olympic Sports Confederation, the Athletes' Commission in the German Olympic Sports Confederation, the national sport federations, the WADA-accredited laboratories in Cologne and Kreischa, and the State Data Protection and Freedom-of-Information Officer). NADA contributed to the WADA Code review process their revision proposals. The Germans were basically successful with their proposals in regard to the fields of protection of minors and data protection, and reinforcing preventive measures. Protection of minors is given more emphasis in the Code. In Germany, NADA had already developed guidelines years ago, by which its work is governed, for example that visual inspection be omitted if an athlete is under 16 years of age. And in line with the recommendations put forward by the Germans, the Code includes more preventive regulations; data protection was likewise given more emphasis.



 $\mbox{Dr.}$  Lars Mortsiefer speaks at the conference of the anti-doping officers of the German Sports Federations

### Cooperation with the government's investigative agencies

For the success of any anti-doping work, close liaison between NADA and the government's investigative agencies is indispensable. This field still offers some potential for upgrading. It often proves difficult for NADA to get permission to view the case files involved. In 2013, NADA has once again placed more emphasis on the requisite cooperation. The goal is to ensure that NADA is informed about all doping-relevant events, and is automatically involved in all issues falling under its remit.

# Anti-doping legislation

NADA is an explicit advocate of criminal law's providing a stronger backing for any efforts to combat doping. At the same time, it is in NADA's view essential to strengthen sport jurisdiction if any anti-doping work is ever to be successful. There must not be an "Either – Or", there must rather be an effective symbiosis of sports law and criminal law when it comes to prosecuting the perpetrators involved in anti-doping rule violations. NADA welcomes any change that promotes an even more intensive exchange of news and views between the government's investigative agencies and NADA, with information flowing in both directions, and thus also strengthens NADA's prerogatives.

The responsible authority for any decisions falling under sports law and involving anti-doping rule violations is the German Court of Arbitration for Sport domiciled at the German Institution of Arbitration in Cologne. The German Institute of Arbitration Committee for Arbitration for Sport was convened twice in 2013, discussing revisions to and improvements in the German Institute of Arbitration sport arbitration rules.

# Taking over result management

Detection and sanctioning proceedings should as a rule be carried out by an independent institution, as is the standard practice in many countries. This is why NADA, too, is keen to take over the result and sanctioning management from the



 $\mbox{Exchange}$  with Matthias Kamber (Director of Antidoping Switzerland) about the Code revision.

federations. By early April 2014, a total of ten federations had entrusted result management to NADA: the General German University Sports Federation, the German Alpine Association, the Association of German Cyclists, the German Deaf Sports Association, the German Athletics Federation, the German Speed Skating Association, the German Ice Hockey Federation (with first and second Leaque), the German weight Triathlon and Tug-of-War Federation, the German Rowing Association, the German Triathlon Union.

# "Causa Erfurt"

The "Causa Erfurt" has been brought to a final conclusion in 2013. The proceedings by NADA against a cyclist before the International Court of Arbitration for Sports (CAS) ended with an acquittal for the athlete concerned. Moreover, the CAS generally put on record that the UV treatment of blood, with subsequent re-injection, does not constitute a prohibited method in accordance with the WADA's prohibited list valid at that time (prior to 2011). For the period as from 1 January 2011, the legal position had already been clarified in 2012. Such treatment had as from 2011 been indisputably covered by the then valid Rule M 2.3 in the prohibited list. In the currently valid 2013 prohibited list, the method in question has now been covered under M 1.

# The Sinkewitz case

The case of cycling pro Patrik Sinkewitz dragged on through all of 2013, with a decision made only in 2014. The suit brought by NADA before the CAS ended in February 2014 with an eight-year period of ineligibility for the athlete. A doping test conducted on Sinkewitz in February 2011 was positive for the recombinant growth hormone (hGH). What was involved in this case was his second anti-doping rule violation, after he had already served a one-year period of ineligibility in 2008 on the grounds of proven ingestion of testosterone.

# Ten sanctions for open cases dating from 2012

In 18 cases of possible anti-doping rule violations dating from 2012, the proceedings involved had not yet been finished. Ten of these ended with sanctions for the athletes concerned, so that the total number of sanctions imposed on athletes for the year 2012 rose from 24 to 34. One of the proceedings ("Causa Erfurt") ended with an acquittal, nor were any violations of anti-doping rules found in five other proceedings brought on the grounds of infusions, nor in two proceedings relating to proof of presence of a diuretic.

### The overview:

### Open cases 2012 (compare with Annual Report 2012)

		-			-	
Federa- tion	Violation	Date of test	Type of test	Subs- tance	Sanc- tion	Of- fence repor- ted
American Football	2.1 NADC	Oct. 12	IC* test	S8	sus- pended	
Cycling	2.1 NADC	Sept. 12	IC* test	S8; S6	Ineligi- bility (1 year)	
Cycling	2.1 NADC	May 12	IC* test	S9	Ineligi- bility (3 months)	
Cycling	2.2 NADC	Other	Other	Other	no vio- lation (Erfurt)	
Equestri- an Sport (horse- man)	2.2 NADC	July 12	00C** test	M2	no vio- lation	
Triathlon	2.2 NADC	June 12	00C** test	M2	No vio- lation	
Triathlon	2.2 NADC	Sept.12	00C** test	M2	No vio- lation	
Triathlon	2.2 NADC	July 12	00C** test	M2	No vio- lation	
Basket- ball	2.1 NADC	Dec. 12	IC*test	S8	Ineligi- bility (4 months)	
Basket- ball	2.1 NADC	Dec. 12	IC* test	S8	Ineligi- bility (3 months)	
Boxing	2.1 NADC	Oct. 12	IC* test	S1	Ineligi- bility (2 years)	NADA
lce Hockey	2.2 NADC	Dec. 12	00C** test	M2	No vio- lation	
Football	2.2 NADC	July 12	00C** test	S3	no vio- lation	
Judo	2.1 NADC	Nov. 12	IC* test	S8	Ineligi- bility (2 years)	
Ninepin and Bowling	2.1 NADC	June 12	IC* test	P2	War- ning	
Athletics	2.1 NADC	Dec. 12	00C** test	S5	No vio- lation	
Weight- Triathlon (Tug-of- War)	2.2 NADC	June 12	00C** test	53	War- ning	
Rugby	2.4 NADC	Other	Other	Other	Ineligi- bility (1 year)	

\* IC test = in-competition test

<sup>\*\*</sup> OOC test = out-of-competition test

# 79 possible anti-doping rule violations

In 2013, NADA followed up on a total of 79 possible antidoping rule violations; a total of 29 athletes were sanctioned, while seven proceedings were pending at the time the annual report went to press.

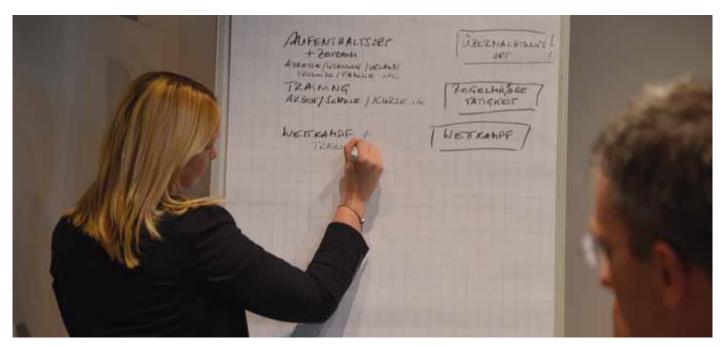
In 53 cases, what was involved were positive analytical results evidencing the presence of a prohibited substance (Article 2.1 NADC); 50 of these were accounted for by incompetition tests (43 federation/7 NADA), three by NADA's out-of-competition tests. Out of these 53 cases, 16 were discontinued because either a therapeutic use exemption (TUE) or a medical attestation could be submitted. Four cases were passed on to the international federation or another national anti-doping organization, because they did not fall within NADA's sphere of responsibility. Three proceedings were pending. 24 athletes were sanctioned on the grounds of a positive analytical result (ineligibility, warning, fine).



Dr. Lars Mortsiefer durig a moot court at the German Sports University in Cologne.

In another 16 cases, proceedings were initiated on the grounds of use, or attempted use, of a prohibited substance or method (Article 2.2 NADC). Nine of these cases originated in out-of-competition testing, and three in in-competition testing; what was involved in four cases was some other findings gained outside the field of testing. Two athletes were sanctioned, while proceedings in one case ended with an acquittal. In nine cases, proceedings were discontinued due to lack of sufficient evidence pointing to a violation of anti-doping rules. In two cases, a TUE was issued retroactively, so that there was no anti-doping rule violation involved. One

Whereabouts Failures 2013	
American Football Federation Germany	6
Austrian Boxing Federation	4
German Athletics Federation	38
German Badminton Federation	2
German Ballroom Dancing Federation	3
German Baseball and Softball Federation	10
German Basketball Association	9
German Bobsleigh Federation	12
German Boxing Federation	6
German Canoeing Federation	11
German Curling Federation	1
German Cycling Association	11
German Disabled Sport Federation	13
German Equestrian Sport Association	3
German Federation for Modern Pentathlon	2
German Fencing Association	8
German Figure Skating Federation	4
German Football Association	5
German Gymnastic Federation	16
German Handball Association	11
German Hockey Association	5
German Icehockey Association	12
German Judo Association	2
German Ju-Jutsu Federation	1
German Karate Federation	3
German Minigolfsport Federation	1
German Ninepin and Bowling Association	4
German Powerlifting Federation	2
German Roller Sport and Inline Skating Federation	2
German Rowing Federation	17
German Rugby Federation	18
German Scuba Diving Federation	3
German Shooting Association	2
German Skiing Federation	31
German Snowboard Federation	7
German Speed Skating Federation	1
German Sport Acrobatics Association	1
German Swimming Federation	21
German Taekwondo Union	1
German Tennis Association	5
German Triathlon Union	7
German Volleyball Federation	17
German Waterskiiing and Wakeboard Federation	5
German Weightlifting Federation	5
German Wrestling Association	5
Total	353



Regine Reiser explains the Whereabouts filing requirements at the Journalists-Workshop 2013.

case was passed on to the international federation because it did not fall within NADA's sphere of responsibility.

For nine tests, there was an initial suspicion of a test refusal in accordance with Article 2.3 NADC, with six of these being out-of-competition and three being in-competition tests. In three cases, each of the proceedings initiated ended with a two-year period of ineligibility, plus a fine. In three cases, no anti-doping rule violation could be proved, and three proceedings were pending when this annual report went to press. In one case that was brought on the grounds of an initial suspicion of impermissibly influencing the doping testing proceedings (Article 2.5 NADC), no violation of anti-doping rules was found.

# 353 filing failures / missed tests

681 written hearings on possible filing failures / missed tests were held with the athletes who are in the registered testing pool (RTP) or the national testing pool (NTP), during the course of which NADA found 349 filing failures and missed tests, with another four being found by the international federation. The filing failures / missed tests involved 105 cases of athletes failing to file their complete quarterly whereabouts information, 216 cases of failing to comply with the updating rules, and 32 cases of missed tests.

### **19 reported offences**

NADA filed charges against persons/institutions unknown in 19 cases, on the grounds of positive analytical results. 17 of these cases involved an alleged violation of the German Medicinal Products Act, and in one case a violation of the German Narcotics Act. Above and beyond that, NADA also filed charges on the grounds of violation of the German Narcotics Act based on an anonymous tip-off.

# Honorary Commission Legal Matters

### Chair

• Prof. Dr. Martin Nolte

### Members

- Prof. Dr. Jens Adolphsen
- Dr. Anne Jakob
- Friedrich Wilhelm Moog
- Dr. Holger Niese
- Dr. Stefan Schmidt
- Dr. Carolin Spindler
- Dr. Franz Steinle
- Prof Dr. Dr. Heiko Striegel
- Christina Gassner

# Guest

• Prof. Dr. Ulrich Haas

# **NADA** Office

• Dr. Lars Mortsiefer

Federation	Violation	Annotation	Date of testing	Type of testing
German Professional Boxing League	2.1 NADC - Presence of a prohibited substance	foreign athlete	Jun 13	In-competition
German Professional Boxing League	2.1 NADC - Presence of a prohibited substance	foreign athlete	Feb 13	In-competition
		loreign atmete	Dec 13	
German Professional Boxing League	2.1 NADC - Presence of a prohibited substance			In-competition
German Cycling Federation	2.1 NADC - Presence of a prohibited substance		Aug 13	In-competition
German Cycling Federation	2.1 NADC - Presence of a prohibited substance		Dec 13	Out-of-competition
German Cycling Federation	2.1 NADC - Presence of a prohibited substance		Dec 13	Out-of-competition
German Cycling Federation	2.2 NADC - (Attempted) Use of prohibited substance/method		Div	Other
German Weightlifting Federation	2.1 NADC - Presence of a prohibited substance		Nov 13	In-competition
German Weightlifting Federation	2.1 NADC - Presence of a prohibited substance		Jun 13	In-competition
German Weightlifting Federation	2.1 NADC - Presence of a prohibited substance	foreign athlete	Dec 13	In-competition
German Weightlifting Federation	2.1 NADC - Presence of a prohibited substance		Dec 13	In-competition
German Weightlifting Federation	2.2 NADC - (Attempted) Use of prohibited substance/method		Nov 13	Out-of-competition
German Powerlifting Federation	2.3 NADC - Refusing or failing to submit to sample collection		Jun 13	In-competition
German Powerlifting Federation	2.1 NADC - Presence of a prohibited substance		Jun 13	In-competition
German Powerlifting Federation	2.1 NADC - Presence of a prohibited substance	foreign athlete	Jun 13	In-competition
German Powerlifting Federation	2.1 NADC - Presence of a prohibited substance	foreign athlete	Jun 13	In-competition
German Powerlifting Federation	2.1 NADC - Presence of a prohibited substance		Feb 13	In-competition
German Powerlifting Federation	2.1 NADC - Presence of a prohibited substance		Feb 13	In-competition
German Powerlifting Federation	2.1 NADC - Presence of a prohibited substance		Jun 13	In-competition
German Powerlifting Federation	2.1 NADC - Presence of a prohibited substance		Apr 13	In-competition
German Powerlifting Federation	2.1 NADC - Presence of a prohibited substance		Sep 13	In-competition
German Powerlifting Federation	2.3 NADC - Weigerung/Unterlassung Probeentnahme		Apr 13	In-competition
German Powerlifting Federation	2.3 NADC - Refusing or failing to submit to sample collection		Sep 13	In-competition
German Speed Skating Federation	2.2 NADC - (Attempted) Use of prohibited substance/method		Nov 13	Out-of-competition
German Lifesaving Federation	2.1 NADC - Presence of a prohibited substance	foreign athlete	Nov 13	In-competition
German Equestrian Sport Association	2.1 NADC - Presence of a prohibited substance		Jun 13	In-competition
German Triathlon Union	2.1 NADC - Presence of a prohibited substance		Jun 13	In-competition
German Armwrestling Federation	2.1 NADC - Presence of a prohibited substance		Feb 13	In-competition
German Armwrestling Federation	2.1 NADC - Presence of a prohibited substance		Feb 13	In-competition
German Baseball and Softball Federation	2.1 NADC - Presence of a prohibited substance		Sep 13	In-competition
German Baseball and Softball Federation	2.2 NADC - (Attempted) Use of prohibited substance/method		Dec 13	Out-of-competition
German Basketball Association	2.1 NADC - Presence of a prohibited substance		Dec 13	In-competition
German Basketball Association	2.3 NADC - Refusing or failing to submit to sample collection		0kt 13	Out-of-competition
German Disabled Sport Federation	2.1 NADC - Presence of a prohibited substance		Sep 13	In-competition
German Disabled Sport Federation	2.1 NADC - Presence of a prohibited substance		Aug 13	In-competition
German Disabled Sport Federation	2.1 NADC - Presence of a prohibited substance		Aug 13	In-competition
German Disabled Sport Federation	2.1 NADC - Presence of a prohibited substance		Sep 13	In-competition
German Disabled Sport Federation	2.1 NADC - Presence of a prohibited substance		Jul 13	In-competition
German Disabled Sport Federation	2.1 NADC - Presence of a prohibited substance		Jul 13	In-competition
German Disabled Sport Federation	2.1 NADC - Presence of a prohibited substance		Sep 13	In-competition
German Disabled Sport Federation	2.1 NADC - Presence of a prohibited substance		Sep 13	In-competition
German Disabled Sport Federation	2.1 NADC - Presence of a prohibited substance		Jun 13	In-competition
German Disabled Sport Federation	2.1 NADC - Presence of a prohibited substance		Jun 13	Out-of-competition
German Disabled Sport Federation	2.1 NADC - Presence of a prohibited substance		Aug 13	In-competition
German Disabled Sport Federation	2.2 NADC - (Attempted) Use of prohibited substance/method		Mar 13	Out-of-competition
German Disabled Sport Federation	2.1 NADC - Presence of a prohibited substance		Jun 13	In-competition
German Boxing Federation	2.3 NADC - Refusing or failing to submit to sample collection		Nov 13	Out-of-competition
German Icehockey Association	2.3 NADC - Refusing or failing to submit to sample collection		Other	Out-of-competition
German Fencing Association	2.1 NADC - Presence of a prohibited substance	foreign athlete	Mar 13	In-competition
German Fencing Association	2.2 NADC - (Attempted) Use of prohibited substance/method		Other	Other
German Football Association	2.1 NADC - Presence of a prohibited substance	foreign athlete	Nov 13	In-competition
German Football Association	2.1 NADC - Presence of a prohibited substance	foreign athlete	May 13	In-competition
German Football Association	2.2 NADC - (Attempted) Use of prohibited substance/method		Apr 13	
			1. 10	

Substance	Sanction	Criminal comp- laint filed by
Prednisolone (S9 - Glucocorticosteroids)	Ineligibility (9 months)	taint nieu by
Oxilofrine (S6 b - Stimulants)	Ineligibility (9 months)	
	Ineligibility (8 months)	NADA
-	• •	NADA
Pseudoephedrine (S6 b - Stimulants)	Pending	
Clenbuterol (S1 - Anabolic Agents)	No anti-doping rule violation	NADA
Clenbuterol (S1 - Anabolic Agents)	No anti-doping rule violation	NADA
Erythropoetin (S2 Peptide hormones, growth factors and related substances); Human Growth Hromon (S2 Peptide hormones, growth factors and related substances)	Ineligibility (6 months)	
Dehydrochlormethyltestosterone [S1 - Anabolic Agents]; Testosterone/Epitestosterone [S1 - Anabolic Agents]	Financial sanction; Ineligibility (2 years)	NADA
Methylphenidate (S6 b - Stimulants)	No anti-doping rule violation	
Nandrolone (S1 - Anabolic Agents)	Financial sanction; Ineligibility (2 years)	NADA
Tetrahydrocannabinol (THC) (S8 - Cannabinoids)	Financial sanction; Ineligibility (5 months)	NADA
Blood donation (M1 - Manipulation of blood and bllod components); Infusion (M2 - Chemical and physical	Acquittal	
manipulation)		
	Financial sanction; Ineligibility (2 years)	
Nandrolone (S1 - Anabolic Agents)	Financial sanction; Ineligibility (2 years)	NADA
Metandienone (S1 - Anabolic Agents); Testosteron/Epitestosteron (S1 - Anabolic Agents)	Referral to other NADO	NADA
Drostanolone (S1 - Anabolic Agents)	Referral to other NADO	NADA
-	Financial sanction; Ineligibility (2 years)	NADA
Tetrahydrocannabinol (THC) (S8 - Cannabinoids)	Ineligibility (3 months)	
	Financial sanction; Ineligibility (2 years)	
Testosterone/Epitestosterone (S1 - Anabolic Agents)	Financial sanction; Ineligibility (2 years)	NADA
Hydrochlorothiazide (S5 - Diuretics and other masking agents)	med. Certificate	
	Financial sanction; Ineligibility (2 years)	
	Financial sanction; Ineligibility (2 years)	
Blood transfusion (M1 - Manipulation of blood and bllod components)	No anti-doping rule violation	
	TUE (NADO)	
Cathine (S6 b - Stimulants); Pseudoephedrine (S6 b - Stimulants)	Pending	
	Ineligibility (2 years)	NADA
Norandrosterone (S1 - Anabolic Agents)	Ineligibility (2 years)	NADA
		NADA
	Ineligibility (6month)	
Choriongonadotropin (S2 Peptide hormones, growth factors and related substances)	Pending	NADA
	Pending	
Testosterone/Epitestosterone (S1 - Anabolic Agents)	TUE (NADA)	
	no anti-doping rule violation	
agents)	med. Certificate	
Bisoprolol (P2 - Beta-Blocker); Hydrochlorothiazide (S5 - Diuretics and other masking agents)	med. Certificate	
Hydrochlorothiazide (S5 - Diuretics and other masking agents)	Ineligibility (3 months)	
, , , , , , , , , , , , , , , , , , , ,	med. Certificate	
Indapamide (S5 - Diuretics and other masking agents)	med. Certificate	
Hydrochlorothiazide (S5 - Diuretics and other masking agents)	med. Certificate	
Furosemide (S5 - Diuretics and other masking agents); Hydrochlorothiazid (S5 - Diuretics and other masking agents)		
,	med. Certificate	
	TUE (NADA)	
Erythropoietin (S2 Peptide hormones, growth factors and related substances)	Ineligibility (2 years)	NADA
Prednisolone (S9 - Glucocorticosteroids)	med. Certificate	
Infusion (M2 - Chemical and physical manipulation)	No anti-doping rule violation	
Eplerenone (Other) (S5 - Diuretics and other masking agents)	TUE (IF)	
	No anti-doping rule violation	
	No anti-doping rule violation	
Boldenone (S1 - Anabolic Agents)	Referral to IF	
-	TUE (NADA)	
	Ineligibility (6 games)	
Methylendioxymethamphetamine (S6 b - Stimulants)	Ineligibility (2 years)	NADA
Triamcinolone acetonide (S9 - Glucocorticosteroids)	No anti-doping rule violation	

Federation	Violation	Annotation	Date of testing	Type of testing
German Football Association	2.2 NADC - (Attempted) Use of prohibited substance/method		Apr 13	
German Judo Assocation	2.1 NADC - Presence of a prohibited substance		Jul 13	In-competition
German Judo Assocation	2.1 NADC - Presence of a prohibited substance		Nov 13	In-competition
German Canoe Federation	2.1 NADC - Presence of a prohibited substance		Sep 13	In-competition
German Athletics Federation	2.1 NADC - Presence of a prohibited substance		Apr 13	
German Athletics Federation	2.1 NADC - Presence of a prohibited substance	foreign athlete	Jul 13	In-competition
German Athletics Federation	2.2 NADC - (Attempted) Use of prohibited substance/method		Sep 13	In-competition
German Athletics Federation	2.2 NADC - (Attempted) Use of prohibited substance/method		Aug 13	Out-of-competition
German Athletics Federation	2.3 NADC - Refusing or failing to submit to sample collection		Jun 13	Out-of-competition
German Wrestling Federation	2.1 NADC - Presence of a prohibited substance	foreign athlete	Jan 13	In-competition
German Rowing Federation	2.1 NADC - Presence of a prohibited substance		Aug 13	In-competition
German Rowing Federation	2.3 NADC - Refusing or failing to submit to sample collection		Nov 13	Out-of-competition
German Rowing Federation	2.5. NADC - (Attempted) tampering of control process		Aug 13	Out-of-competition
German Rugby Federation	2.1 NADC - Presence of a prohibited substance		Jun 13	In-competition
German Chess Federation	2.1 NADC - Presence of a prohibited substance		Sep 13	In-competition
German Shooting Association	2.2 NADC - (Attempted) Use of prohibited substance/method	foreign athlete	Aug 13	In-competition
German Swimming Federation	2.1 NADC - Presence of a prohibited substance	foreign athlete	Feb 13	In-competition
German Swimming Federation	2.3 NADC - Refusing or failing to submit to sample collection		Nov 13	Out-of-competition
German Skiing Federation	2.2 NADC - (Attempted) Use of prohibited substance/method		Jan 13	Out-of-competition
German Ballroom Dancing Federation	2.2 NADC - (Attempted) Use of prohibited substance/method		Jun 13	Out-of-competition
German Waterskiing and Wakeboarding Federation	2.2 NADC - (Attempted) Use of prohibited substance/method		Jun 13	Out-of-competition
Austrian Boxing Federation	2.1 NADC - Presence of a prohibited substance	foreign athlete	Aug 13	In-competition
Austrian Boxing Federation	2.1 NADC - Presence of a prohibited substance	foreign athlete	Feb 13	In-competition
Austrian Boxing Federation	2.2 NADC - (Attempted) Use of prohibited substance/method	foreign athlete	Feb 13	In-competition
Austrian Boxing Federation	2.2 NADC - (Attempted) Use of prohibited substance/method		Jan 13	Out-of-competition
Other	2.1 NADC - Presence of a prohibited substance		Feb 13	In-competition

\* In the marked cases the result management lies within a NADO abroad

# TASK FORCE

Anti-doping work will in future largely be dominated by the activities involved in "Intelligence and Investigations". The 2015 World Anti-Doping Code will place more emphasis on these fields of activity. Back in 2011, NADA had already set up its own Task Force, an interdisciplinary unit comprising experts from the Testing Program, the Medical Department and the legal profession, which synergizes the information gathered in all areas, and which in 2013 also made use of tips, inquiries, abnormalities and investigations primarily for properly targeted testing of athletes. Tight-focus tests produced positive analytical results for substances such as erythropoietin (EPO), anabolic-androgenic steroids and tetrahydrocannabinol (THC), and in some cases led to proceedings on the grounds of (possible) violations of antidoping regulations.

# Intelligence and investigations in the new WADA Code

WADA calls upon all anti-doping organizations to further upgrade their activities in the fields of intelligence and investigations. In its Standard for Testing and Investigations and in Article 5 (Testing and Investigations) of the 2015 WADA Code, the remits of the anti-doping organizations in this respect have been specified. It was not least the exposure of the network around cycling pro Lance Armstrong by the USADA in 2012 that had laid the foundations for this unambiguous signal from WADA.

# National and international networking

Besides in-house exchange of news and views and information compenson by the Task Force, in 2013 the major focus was primarily on national and international networking. In April, NADA hosted a meeting where representatives of the specialized public prosecutors from Munich and Freiburg, of the German Federal Bureau of Investigation (BKA), the Customs Criminal and Investigation Offices, of the Bavarian State Ministry of Justice and Consumer Protection, the educational and scientific centers of the customs authorities, and of the WADA-accredited laboratories (Cologne and Kreischa) pooled their input to lay down in a joint strategic paper the framework structures recommended for future cooperation. And papers

Substance	Sanction	Criminal comp- laint filed by
Triamcinolone acetonide (S9 - Glucocorticosteroids)	No anti-doping rule violation	-taint nied by
Dexamethason (S9 - Glucocorticosteroids)	No anti-doping rule violation	
Methylphenidate (S6 b - Stimulants)	med. Certificate	
Fenoterol (S3 - Beta-2-Agonisten)	Ineligibility (1 year)	
Budesonide (S9 - Glucocorticosteroids)		
Amphetamine (S6 a - Stimulanzien)	TUE (IF)	
Infusion (M2 - Chemical and physical manipulation)	No anti-doping rule violation	
Infusion (M2 - Chemiscal and physical manipulation)	No anti-doping rule violation	
	No anti-doping rule violation	
Furosemide (S5 - Diuretics and other masking agents)	Ineligibility (2 years)	
Budesonide (S9 - Glucocorticosteroids)	No anti-doping rule violation	
	Pending	
	No anti-doping rule violation	
Stanozolol (S1 - Anabole Substanzen)	Ineligibility (2 years)	NADA
Hydrochlorothiazide (S5 - Diuretics and other masking agents)	med. Certificate	
Hydrochlorothiazide (S5 - Diuretics and other masking agents)	Referral to IF	
Prednisolone (S9 - Glucocorticosteroids) Referral to IF		
	Pending	
Infusion (M2 - Chemical and physical manipulation)	ision (M2 - Chemical and physical manipulation) No anti-doping rule violation	
Infusion (M2 - Chemical and physical manipulation) No anti-doping rule violation		
Insulin (S2 Peptide hormones, growth factors and related substances) TUE (NADA)		
Hydrochlorothiazide (S5 - Diuretics and other masking agents)	Ineligibility (1 year)	
Tetrahydrocannabinol (S8 - Cannabinoids)	Ineligibility (1 year)	
Insulin (S2 Peptide hormones, growth factors and related substances)	Warning	
Infusion (M2 - Chemical and physical manipulation)	No anti-doping rule violation	
Ephedrine (S6 b - Stimulants)	Pending	NADA

presented by NADA employees at participating institutions and in front of anyone interested from the legal profession likewise served to provide information on and raise awareness levels for the intricate complexities involved in anti-doping work.

# 44 hints followed up on in 2013

NADA followed up on a total of 44 hints, some of them from anonymous callers, some given in emails, or based on press releases. And even if not all of these hints directly resulted in legal proceedings for violations of sports and anti-doping law, or criminal proceedings on the grounds of violations of existing national legislation, being initiated, the case of Lance Armstrong, in particular, showed that protracted investigative proceedings and innumerable talks are often necessary for identifying and convicting the perpetrator(s).

# Insights gained from athletes who confessed

On the international stage, cooperative arrangements with the Spanish anti-doping organization (AEPSAD) and with UK Anti-Doping got under way in the autumn, on the occasion of a meeting with Europol, which was also attended by the German Federal Bureau of Investigation. And the cooperation with the American anti-doping organization USADA bore some initial fruit, too: the former cycling pro and sport manager of the American Pro-Cycling Team Garmin Sharp, Andreas Klier, got in touch with USADA and NADA, who were working together in investigating this case, and offered his collaboration, in return of which he was ordered to serve a reduced period of ineligibility of only six months. The insights that NADA gained from these collaborative arrangements were utilized both for effective test planning and for preventive measures, as was information obtained in talks with further athletes confessing their doping violations, e.g. the cycling pros Stefan Schumacher and Erik Zabel.

There are prospects of some more cooperative arrangements with further anti-doping organizations, especially as in all international meetings more emphasis has meanwhile been placed on the major issue of Intelligence and Investigations since its being incorporated in the 2015 WADA Code.

# MEDICINE

NADA's Medical Department is the service provider for doctors, medical professionals and pharmacists, for top-level athletes and up-and-coming sportspersons, answering questions in regard to medicines, prohibited substances and methods, and to the issuing of therapeutic use exemptions.

# NADAmed

Besides the established information materials, the example list of permissible medicines and the MediCard in printed form, the online NADAmed medicine database is becoming progressively more popular. In 2013 NADAmed was requested 22.781 times. In total in NADAmed 2.993 medicals were looked up. Last year, there was an average of almost 2,000 hits a month. Nor can NADAmed be accessed solely via the internet but also by using the free-of-charge NADA App for iPhones and android phones. The Medical and the Prevention Departments work hand in hand, so as together to develop an advanced online training course for federations' appointed doctors and sports physicians. The initiative for this project came from the German National Paralympic Committee, and the green light for this new advanced-training option will be given this year.

But it continues to be an indispensable task to seek personal exchanges of news and views with the medical target groups, and discuss matters with them face to face. NADA's specialist lectures containing topical notes in regard to the anti-doping Code of conduct given to the appointed doctors of the German Olympic Sports Confederation, of the German National Paralympic Committee and on the occasion of the federations' appointed doctors' annual symposium have for years now been firmly established entries in everyone's diaries. This also includes spotlighting the doping-relevance of medicines at the German Olympic Sports Confederation informational events for medical staff in the run-up to Olympic Games. International exchanges of news and views are no less important in this context. This was in 2013 practised in particular within the D-A-CH-Group, the network of the anti-doping teams from Austria, Switzerland and Germany, and with the Institute of National Anti-Doping Organizations (INADO).

In 2013, NADA's staff continued their series of informational events aimed at chambers of pharmacists, as they did their participation in the advanced-training events for public prosecutors and judges at the German Academy of Judges, who have to increasingly address questions and evidence from the field of anti-doping from a legal point of view. Above and beyond this, the Medicine liaises with the relevant federal ministries and government agencies, and each year, together with the German Federal Ministry of the Interior, who is responsible for sport, and with the German Federal Ministry of Health, draws up the translation of WADA's prohibited list. It is involved in an advisory capacity in the evaluation of appropriate laws, and also exchanges mutual feedback with the Bonn-based German Federal Institute for Drugs and Medical Devices on the doping-relevance of substances.

In 2013, contaminated and adulterated food supplements were again found, contaminated, for instance, with various stimulants, such as oxilofrine or methylhexanamine, which are often not listed among the ingredients. Each time a new find had come to light, NADA published a warning aimed at saving not only German athletes from falling into doping traps of this kind, to say nothing of the health-damaging effects involved. In 2013, the Medicine was also available as the port of call for all questions relating to this topical issue.

# Therapeutic Use Exemptions (TUE)

Together with the NADA's honorary medical commission, they also formulate proposals from the field for the WADA's prohibited list while also proactively submitting - as part of the review process for the WADA Code - proposals for the revision of the TUE Standard. This standard governs the application procedure for what are called Therapeutic Use Exemptions, or TUEs for short, which athletes who have fallen ill can use to have the ingestion of in actual fact prohibited substances approved. In top-level sport, too, there are successful athletes suffering from chronic diseases, who - by taking a substance that is prohibited for healthy athletes - are able to pursue their chosen sporting discipline. WADA has laid down strict rules on approving prohibited substances for therapeutic purposes; the athletes suffering from a disease, and their personal doctors, must submit extensive documentation in this respect, and may - if NADA so requests - have to have further examinations performed by independent experts. Only then can after meticulous scrutiny an actual approval be granted, which will, however, not enable the athlete concerned to upgrade his performance but only serve to treat his disease, and enable him to pursue his sport.

Note that in this work the Medicine is supported by what is called the TUE commission, which WADA specifies for

# **Therapeutic Use Exemptions 2013**

Designation	Abbreviation	Number
Therapeutic Use Exemptions	TUEs	58 approved
TUE approvals from other organizations*		20
Medicine listing**	Med.list.	163
Attestations		89
Other***		172
Total		502

each of the anti-doping organizations, and for TUE work as such. In this regard, NADA can at present tap into the skills and services of a seven-strong body of experts, whose members are physicians from various specialisms. For this body of experts, WADA bindingly specifies doctors with experience in treating and supporting athletes, who can point to in-depth expertise in sports medicine. The Bonn-based Professor for endocrinology and diabetology, Bettina Christine Gohlke, has since 2013 been a valuable addition to this body. NADA has thus been able to cover another important field.

# Mutual exchange of views

NADA prioritizes a mutual exchange of views with the colleagues from the medical field in the German-speaking regions, but also with international agencies, WADA or IN-ADO, at regular intervals.

Moreover, NADA's employees also observe developments and trends emerging for treatment methods and medicines, in orthopedics, for example, or in psychology because it is possible that certain psychotropic drugs also have a physiological effect. Therefore, the plan for 2014 is to progress intensive mutual feedback in this field.

# 2013 - 58 approved TUEs

Federation	TUE
German Athletics Federation	1
German Basketball Federation	1
German Cycling Federation	2
German Dancing Sport Federation	1
German Diving Federation	2
German Football Association*	16
German Gymnastics Federation	1
German Handball Association	7
German Hockey Association	3
German Icehockey Federation	5
German Karate Federation	3
German Minigolf Federation	1
German Moto Sport Federation	1
German Ninepin and Bowling Association	1
German Rowing Federation	2
German Sailing Federation	1
German Shooting Federation	2
German Squash Federation	1
German Swimming Federation	1
German Tabletennis Federation	1
German Volleyball Federation	1
German Wrestling Association	1
National Paralympic Comittee Germany	3

\* The *TUE*-duty at the German Football association includes the men's 1-4th League, both women's national leagues as well as the A-and B-junior national leagues. Thus, significantly more athletes than any other association.

# **Honorary Commission Medicine**

# Chair

- Dr. med. Sebastian Thormann
- Prof. Dr. rer.nat. Rudhard Klaus Müller

# Members

- Dr. med. Bernd Dörr
- Prof. Dr. med. Bettina Gohlke (seit Mai 2013)
- Prof. Dr. med. Tim Meyer (bis Oktober 2013)
- Prof. Dr. rer. nat. Wilhelm Schänzer
- Prof. Dr. med. Holger Schmitt
- Dr. rer. nat. Detlef Thieme
- Prof. Dr. med. Axel Urhausen
- PD Dr. med. Bernd Wolfarth
- Dr. med. Wilfried Wolfgarten

# **NADA Office**

• Marlene Klein

<sup>\*</sup> Athletes are required to submit a TUE application to only one organization, e.g. to a national anti-doping organization (NADO), or to an international federation (IF). As part of what is called Mutual Recognition, this will then be recognized by the partner agency concerned. As a rule, the NADOs will be responsible for national athletes, and the IFs for the international testing pool.

<sup>\*\*</sup> Voluntary, non-mandatory medicine listings, e.g. concerning the inhalation of salbutamol, salmeterol and formoterol, and nonsystemically administered glucocorticoids (orthopedic / by inhalation)

<sup>\*\*\*</sup> These include, for example, permitted medicines included in the medicine listing, permitted emergency treatments, or voluntary information on operations





# GIVE EVERYTHING, TAKE NOTHING.

An initiative of the National Anti Doping Agency of Germany We stand up for clean sport! Doping is not an option. Support like Wladimir Klitschko our initiative GIVE EVERYTHING, TAKE NOTHING: For a fair competition and honest results. For the future of the sport in Germany.

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More information under: www.nada.de www.alles-geben-nichts-nehmen.de Our partners:

# PREVENTION

Encouraging clean sport and preventing doping - those are the paramount goals of anti-doping work. So as to ensure progress on this, NADA places one major focus of its activities on prevention. During 2013, too, it trained numerous young athletes, pointing out to them the risks involved in doping, discussed the issue with them, and encouraged them to choose the path of fair, clean sport. It goes without saying that NADA invariably also includes the people directly surrounding the athlete in its prevention efforts and in its training measures, the athlete's important psychological attachments persons, e.g. trainers and coaches, support staff, parents, teachers, and the federations' anti-doping officers. It is only by joining forces that values and mindsets can be formed and anchored, and athletes be induced to use their own judgement, and to ensure that their response to doping, an emphatic "No", will come as a matter of course. In parallel, it is also necessary to subject the circumstances and framework conditions under which competitive sport is pursued in Germany to an in-depth analysis.



100 years German Sport Badge: NADA's information booth at Bellevue Palace. From left: Chairperson of NADA's Executive Board Dr. Andrea Gotzmann, Thomas Berghoff (NADA), Bianca Haschke (NADA), German President Joachim Gauck and IOC President Dr. Thomas Bach

# **Born to Run**

One of the most important project in prevention work during 2013 was the mobile game "Born to Run", which NADA developed jointly with the anti-doping organizations of Austria and Switzerland, and which went online in July. Here, NADA combines playful access to information about the risks posed by and the consequences involved in doping for young athletes with modern-day communication, while also utilizing cross-frontier synergies. The game's protagonist must participate not only in sporting competitions in the "International Roof Running League (IRRL)" but also in out-of-competition rounds, and may – just like any competitive athlete – be asked to undergo a doping test, without prior announcement and unexpectedly. There are, of course – just as in real life – national and international rankings of the best players. Needless to say that doped players will lose their points and following a period of ineligibility must start again from scratch. Around 10,000 downloads in the first six months show that the game enjoys excellent levels of acceptance.



Presentation of the Game

When it comes to imparting the requisite knowledge on rules, the Testing Program, or on the risks involved in doping, too, NADA utilizes modern-day media. The e-learning program, which downright soared in 2013, met with keen interest: 4,222 users successfully completed the program last year, thus obtaining their certificate. Overall, NADA during that period recorded more than 5,000 registrations. By way of comparison: in the period from 2010 to 2012, NADA issued 2,625 certificates, with 3,725 registrations.

The national sport federations are likewise making increasing use of this learning program. The German Canoe Federation (DKV) and the German Catholic Sport Federation (DJK) in 2013 rendered the course mandatory for their athletes, and made some individual modifications. Each of the following German federations, the German Ice Hockey Federation (DEB), the German Sport Divers' Federation (VDST) and the German Swimming Federation (DSV), has appointed its own federation administrator for supporting the athletes. The German Judo Federation (DJB) has had the login to the e-learning portal directly incorporated in its own members' area.

NADA's App, where the medical data base is integrated as

well, was downloaded by 9.989 Users in 2013. In comparison to last year with 3.136 Users, this was a triplication.

Routing information through electronic media constitutes an excellent supplement to conventional ways of imparting information. Nonetheless, direct interfacing between NADA staff and the athletes, including their direct psychological attachment persons, continues to remain an indispensable pillar of prevention. No fewer than 42 times, NADA's information stand "Together against doping" was there on the spot at a variety of competitions for up-andcoming young athletes in 2013, as an information service for the young sportspersons. The year's highlight was its taking part in the anniversary festivities in Bellevue Palace, marking 100 years of the Deutsches Sportabzeichen (German Sports Badge), also attended by the German President Joachim Gauck and IOC President Dr. Thomas Bach. Moreover, the prevention team also participated in 35 (advanced-) training events, to provide anyone interested with the pertinent information; 16 of these events were held at the elite schools of sport and of football respectively. To this must be added two workshops lasting several days, which made them information-heavy indeed, at the elite schools of sport in Berlin and in Frankfurt (Oder). In 2013, NADA furthermore conducted twelve advanced-training events for coaches and trainers, covering the whole gamut from C-trainer right up to certified coach and trainer, while also offering parents' evenings, visiting the sport promotion group of the German Federal Armed Forces, training the youth ambassadors of the German Athletics Federation (DLV), giving lectures at the German Sport University in Cologne, and - last but not least - educating top-level athletes at the Olympic Training Centers. Nor was mutual feedback on the international stage being neglected: two education meetings were held here.

The town of Eschborn continued its successful cooperation with NADA, and – as in 2012 – once more subsidized its prevention work with the sum of 50,000 euros. One of the prevention events was held at the Heinrich-von-Kleist School in Eschborn. Besides this exciting exchange of views between NADA staff and 48 schoolchildren, NADA was also present at the cycling race "Round the Financial Center", and at the triathlon competition in Eschborn.

# Prof. Lutz Nordmann new Chairman of the Commission

In July, NADA's Supervisory Board, following a proposal by the Executive Board, appointed Prof. Lutz Nordmann as the new Chairman of NADA's Prevention Commission. Prof. Nordmann heads the Trainer Academy of the German Olympic Sports Confederation, and as a regular guest in the commission has been following its work for some years now. It was thanks to his support that doping prevention has been successfully established as a fixed item in the curriculum of trainer skilling schemes. The previous incumbant of this post, Dietmar Hiersemann, had stepped down from office in March 2013. The commission, comprising prevention experts from a variety of specialisms, supports NADA's prevention work in an advisory capacity.

### National Doping Prevention Plan (NDPP)

NADA uses the NDPP to control all of its prevention-related activities in Germany, liaising closely with the German Federal Ministry of the Interior, the Conference of State Sports Ministers, and the German Olympic Sports Confederation / German Sport Youth Federation. A database whose purpose is to provide an overview of all prevention-related projects in Germany has been developed, and is now online. It is hoped by everyone concerned that this will help to coordinate prevention-related projects to better effect, and tap into the available synergies. Initiating new cooperative alliances, and pooling strengths to foster clean sport, these are just two of the basic principles on which the NDPP is based.

# **Honorary Commission Prevention**

### Chair

- Dietmar Hiersemann (until March 2013)
- Prof. Dr. Lutz Nordmann (from Juli 2013)

### Membersr

- Thomas Behr
- Helga Holz
- Dr. Franz-Josef Kemper
- Stefanie Losem
- Dr. Astrid Offer (until March 2013)
- Michael Sauer
- Hennig Schreiber
- Martin Schönwandt
- Dr. Thorsten Schulz
- Ulrich Striegel
- Prof. Dr. Pia-Maria Wippert

# **NADA Office**

• Dominic Müser

\* The commission was appointed newly in spring 2014

# COMMUNICATION

Besides handling its usual workload, NADA's Communication Department in 2013 also prepared the new initiative called "GIVE EVERYTHING, TAKE NOTHING", which NADA presented to the general public in late January 2014 while also unveiling its new contemporary design. The Company Otto Bock had provided in financial funding for the initiative; the company had triggered a "help to help yourself" process with this initiative, NADA firstly intends to gain partners from the business community so as to obtain longterm financing security, but secondly it also aims to raise awareness levels among the general public for its goals and its mission statement "FOR CLEAN PERFORMANCE", anchoring them to better effect in people's minds. In 2013, the members of the business community supporting NADA were adidas and Otto Bock.

# Events

NADA trod new ground at its meanwhile traditional Journalists' Workshop in October. For the first time, representatives from the media were able to take part in doping tests, thus familiarizing themselves with the procedures involved. Workshop participants volunteered to slip into the role of the athlete, so as to accurately simulate genuine testing procedures at the stations "urine and blood sampling". The hematological parameters were determined from the blood sample directly on the spot, using the Sysmex® analyzer, and then discussed at the "Blood Passport" station. At the downstream stations, NADA presented the ADAMS (Anti-Doping Administration and Management System) whereabouts reporting system, checked the ordinary household medicine cabinet for prohibited substances, and informed the journalists about its latest prevention-related activities. The workshop, attended by 70 journalists, met with keen interest. To conclude the event, there was a platform discus-



Blood control at the workshop for journalists 2013.

sion in the afternoon, which addressed the topical question of "Anti-doping law – a sharp sword, or overrated?" There was a consensus on the fact that toughening up criminal law definitely makes sense, but how to implement this in actual practice provided much food for debate. Dr. Lars Mortsiefer (member of the NADA's Executive Board and head of its Legal Department) discussed the two different proposals from Baden-Württemberg and Bavaria with Peter Häberle, Baden-Württemberg's Minister of Justice, Markus Müller from the Specialized Public Prosecutors' Office I Munich, and Christian Breuer, Chairman of the Athletes' Commission in the German Olympic Sports Confederation.

In January, together with the German Olympic Committee for Equestrian Sports (DOKR) and the Fédération Equestre Nationale (FN), NADA organized a vivid demonstration for around 40 media representatives from TV, radio, the specialized and daily press in the Ludger Beerbaum riding stables (Riesenbeck) of an out-of-competition test for horses.



Discussion at the workshop for journalists 2013

NADA's annual press conference, held in early July in Berlin, focused on the 2012 Annual Report, with the figures for test and result management, on the major concerns of modern-day anti-doping work, and on discussions relating to providing NADA with long-term financial security. In August, NADA's Executive Board attended a joint press meeting with representatives of the German Football Association in Frankfurt, during which the introduction of blood tests in German football was presented.



Demonstration of a hourse control

# Daily inquiries and information options offered by NADA

In 2013, too, NADA's communication team answered inquiries from media representatives on a daily basis, by telephone or email, and brokered or gave interviews spotlighting NADA's work. On NADA's website, journalists will always find up-to-date information on anti-doping activities carried out by the various NADA departments. All important documents and Codes of conduct are at present offered as downloads, with films explaining how to work with ADAMS whereabouts reporting system. Above and beyond this, a section for media representatives has also



NADA at Facebook

been set up, which is maintained and updated just as regularly. With press releases and news on its website, NADA's communication team invariably informs journalists, and thus the general public, with dependable promptitude. NADA's Facebook page is not only a source of information for everybody but has also developed into a discussion platform for topical issues. On NADA's YouTube channel, videos on topical NADA issues can regularly be viewed. After changing over to the new design, NADA's website has a new address: www.nada.de. Since mid-April 2013, it has also been available in the English language. NADA is thus offering all non-German-speaking athletes and support staff, plus international organizations, an opportunity to obtain comprehensive information on the anti-doping work being done in Germany. You will find information on NADA's new initiative under www.alles-geben-nichts-nehmen.de.



Annual press conference 2013 at Otto Bock Science Center, from left: Dr. Lars Mortsiefer, Dr. Andrea Gotzmann and Prof. Hans Georg Näder

# **INTERNATIONAL COOPERATION**

Mutual cross-frontier feedback creates enhanced efficacy and competence for everyone involved in anti-doping work. All fields of anti-doping work, whether it's testing for and punishing any anti-doping rule violations, prevention and medicine, or questions involving the Code of conduct and the progressive enhancement of the WADA Code, benefit from international collaboration.

Mutual feedback with the German-speaking anti-doping agencies from Switzerland and Austria, operating under the acronym of D-A-CH, continues to be prioritized by NADA. Together, successful prevention brochures and an e-learning program have been created. The highlight of these collaborative initiatives last year was the launch of the world's first mobile game, with the title "Born to run". But no less important was the mutual feedback for revising the antidoping Code of conduct, on intelligence-gathering work, and on the Athlete Biological Passport. Direct exchange of news and views between the people actually working in the field is essential, not least for legal and medical matters, and for specialized questions plus the specific implementation of the new WADA Code. Joint consultative coordination here covers all hierarchical levels, from the Executive Board and the senior management all the way down to the workplace level.

At the beginning of last year, for example Michael Cepic, the new Chief Executive Officer of the Austrian NADA, had received a comprehensive briefing on the work of his German colleagues during a visit to Bonn, with particular attention to testing procedures, the work of the legal department, and activities involving prevention and medicine. Together with Switzerland, NADA had planned and conducted the in-competition tests for last year's European Championships in volleyball. All three agencies, moreover, met with WADA's expert on evaluating blood tests for design-enhancing what is called the Athlete Biological Passport. Among the world's anti-doping agencies, NADA plays a leading role in blood testing, not only in terms of statistics.

One of the D-A-CH-Group's prioritized concerns is global equality of opportunity, with the aim of having all athletes worldwide tested on the same level. Following a proposal from the three organizations, a European group of experts was set up, tasked with formulating new ideas and possible solutions designed to progress the harmonization of anti-doping work worldwide. In the European Council's Monitoring Group, representatives of various national anti-doping agencies will draw up proposals on the subject



Khalid Hamoussa from Morocco as guest in Bonn

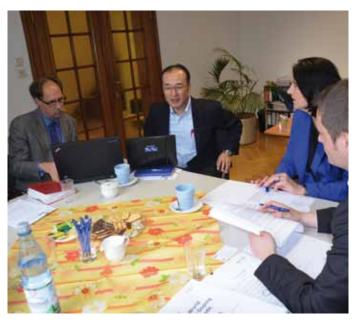
of "Equal Opportunities for Athletes". This internationally focused group, chaired by Dr. Andrea Gotzmann (NADA), is composed of experts from the agencies of Denmark, Germany, the United Kingdom, Austria, Portugal, Romania, Switzerland, Hungary and Cyprus. Fundamental theses have already been expounded on the basis of a strategy paper jointly drawn up by the D-A-CH Group in 2009. The initial results are scheduled for publication in mid-2014.



Dr. Andrea Gotzmann and Travis Tygert (USADA) at the 10 years anniversary of Antidoping Norway (Foto: Antidoping Norway)

There are still disparities between different countries, e.g. not least in terms of whereabouts information, the relevant sanctions, but also in terms of the number of tests, the types of testing and utilization of the various analytical options available, as well as prevention. The new WADA Code contains unequivocal guidelines on these – it will help to bring the goal equal opportunities closer.

But it's not only in Europe that NADA has been active. For instance, there was some more intensive exchange of news and views with our colleagues from the USA, who had caused a major stir worldwide by exposing the doping activities of and around Lance Armstrong. Following a visit by the Chairperson of NADA's Executive Board, Dr. Andrea Gotzmann, to the USA, the CEO of the USADA, Travis Tygart, during his trip to Germany also found time to drop by at the Heussallee office in Bonn, to be personally briefed on NADA's work. During his visit, Travis Tygart reiterated his commitment to collaboration, particularly in the fields of investigations and analytics, most recently manifested in handling the case involving the cyclist Andreas Klier. In 2012, following a successful application for a domicile in Bonn, the international umbrella association of the national anti-doping organizations, Institute of National Anti-Doping Organizations (INADO), started work in the Heussallee. Within a short time, the INADO had grown from around a dozen members at first to its present almost 40, including many European anti-doping agencies and prominent sporting nations like the USA, Canada or Australia. China is shortly to be included, and the Brazilians too, with their upcoming major international sporting events, are thinking about joining. The Chairperson of NADA's Executive Board, Dr. Andrea Gotzmann, was elected onto the INADO's Executive Board in March 2013. And he was, of course, also represented at the 4<sup>th</sup> World Anti-Doping Conference in Johannesburg last November. Moreover, Dr. Lars Mortsiefer is Chairperson of INADO's Legal Group.



Shin Asakawa (CEO of the Japanese Organisation) guest in Bonn

Our colleagues from Japan, too, were our guests in Bonn once again. Besides the Director of the Japanese agency JADA, Shin Asakawa, the legal colleagues of the two agencies met to swap feedback on procedural questions and result management. Moreover, in 2013 the NADA welcomed visitors from Morocco and Venezuela. Last year, NADA Austria saw its fifth birthday, and Anti-Doping Norway its tenth. Here, too, NADA Germany was on the spot to offer its congratulations and to exchange news and views on an international level.

# HUMAN RESOURCES, FINANCES AND CONTROLLING

# **Financial situation**

The financial situation in 2013 continued to be precarious. Thanks to a decision from the German Federal Ministry of the Interior to provide a grant from the federal government amounting to 1 million euros for NADA's Testing Program, and the postponement of investments in human resources and equipment, the budget was ultimately balanced in the 2013 business year.

Continuous expansion of and a sustained enlargement in the foundation's remit, however, were not possible in 2013 either. The reasons for this are particularly to be found in the continuing lack of financial support from the German states. Only adidas and Otto Bock, plus the state governments of Baden-Württemberg, made an adequate contribution in 2013 to NADA's financing. Nonetheless, the urgently needed modernization of NADA's IT systems, plus the requisite strengthening of the Testing Program and the prevention capabilities in terms of both staffing and substantive content could not be carried out in 2013.

The budget for operative business came to around 4.8 million euros, of which NADA passed on 1.8 million euros on behalf of the German Federal Ministry of the Interior to the two WADA-accredited laboratories in Cologne and Kreischa for purposes of research and analysis.

NADA's foundation's assets on 31 December 2013 came to around 14 million euros. There was just one endowment contribution in 2013, from the state government of Schleswig-Holstein, to the amount of 15,000 euros. Only the interest income from the foundation's assets is available to NADA for covering the expenses of operative business. In 2013, NADA was able to cover only approx. 10 percent of total expenditure from interest income.

The Executive Board took appropriate action early to clarify the future financial situation as from 2014. Talks with the relevant stakeholders proved successful. The German federal government committed itself to assuring NADA's sustainable financing in the coalition agreement of autumn 2013. The Otto Bock company is supporting NADA in 2014 with a total of 1.25 million euros for the operative business plus marketing activities. In addition, the sporting community decided in December 2013 to support NADA in 2014 with an additional 200,000 euros. The State Sport Confederations increased their own contribution towards NADA's financing from 27,000 euros to 100,000 euros per annum.

NADA intensified its marketing activities in 2013, so as to address potential partners from the business community.

A continuous involvement of the state governments in NADA's operative business is still not in sight.

Due to the continuing expansion of the remit on the basis of the new WADA Code, as from 2015 NADA will have to make additional expenditure. In particular, the establishment of an adequate IT and database system, the provisions for a litigation cost fund for disciplinary proceedings, plus the staffing and structural upgrading of the Testing Program (taking over in-competition tests), the task force, result management and prevention will

Revenues in 2013	in %	in €	Expenditure in 2013	in %	in €
Federal governments grants	29,7	1.430.000	Doping controls	43,9	2.111.963
Test cost reimbursements	29,4	1.414.459	Personnel expenses	27,2	1.310.494
Sport community grants	13,4	642.556	Material expenses	9,9	474.081
Interest income foundation assets	9,4	454.375	Prevention projects	5,8	280.410
Business community grants	7,7	369.151	Other	4,6	215.838
Other	7,2	343.733	Provision for cost risk in court	3,1	150.000
Federal states and city grants	3,1	149.667	Communication and marketing	2,8	135.725
Reimbursements result management	0,1	6.463	Result management	2,7	131.893
Total	100	4.810.404	Total	100	4.810.404

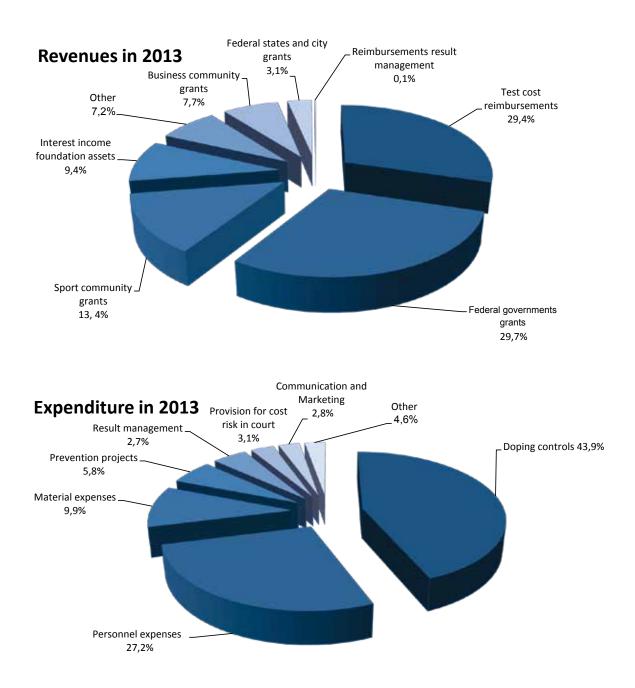
\* Further 1.8 million euros on behalf of the Federal Ministry of the Interior are passed on from NADA to the two WADA-accredited laboratories in Cologne and Kreischa.

necessitate increased capital investment. As from 2015, NADA will need a budget of around 10 million euros (including around 1,9 Million euros for research and analysis) for maintaining its operative business.

Sustainable long-term financing is more important than ever, so as to enable the foundation to effectively meet the requirements of its remit. If this fails to materialize, then implementation of the foundation's stated purpose will be put seriously at risk.

# **Human Resources**

In 2013, NADA was employing 29 people: 18 full-time, seven part-time and four in marginal part-time jobs. For the workforce, which – compared to NADA's early years – had grown to match the steady expansion of the remit, bottlenecks had emerged in the premises at Heussallee 38. In May 2013, NADA was able to rent premises in the immediate vicinity, and move to these with a part of its staff. The Medical and Prevention Departments are now accommodated at Heussallee 28, as is the office of the Institute of National Anti-Doping Organizations.



# DATA PROTECTION

This data protection report for 2013 is at the end supplemented by the annual report of the Ombudsman for antidoping matters, Prof. Dr. Roland Baar.

In the year under review, too, exchange of information on data protection with the State Data Protection and Freedom-of-Information Officer NRW responsible for NADA and further regulatory agencies for data protection, as well as with representatives of federations and athletes, was continued in two meetings. Discussions focused in particular on questions to do with the review of the WADA Code, and on problems relating to data protection legislation in the struggle against doping. This exchange of news and views is to be continued at need.

# **Review of the WADA Code**

A review of the WADA Code, the main Code of conduct for the worldwide struggle against doping in organized sport, in force since 2009, has been approved by the WADA's Executive Committee in November 2013. The Code's revised version is scheduled to come into force at the beginning of 2015. The contract changes predominantly refer to the modalities of doping, and the concomitant sanctions. The latter will also have its effects on information processing and data protection. An extension of the limitation period for following up on anti-doping rule violations to ten years means that the retention periods for doping samples, and for the associated personal data, must also be extended correspondingly. Conversely, the reduction in the assessment period for the presence of three strikes from 18 to 12 months will correspondingly lead to a shorter retention period. Overall, not all European proposals were adopted in the review process. It is to be welcomed, though, that for the exchange of personal data the European data protection standard is to be the determinant model. This is an indispensable precondition for NADA's information exchange with partner organizations and international federations in third countries.

# New legislation on anti-doping under preparation

The new federal government announced an anti-doping law in its manifesto. Even though what is involved here is primarily combating the practice of cheating in sport by means of doping, for instance, a possible bill should nonetheless be used to create unambiguous legal foundations for the collection, processing and utilization of personal data within the framework of combating doping. At present, information processing is based upon the athlete concerned giving his consent, something which the dataprotection regulatory agencies, however, do not regard as sufficient, not least because of a lack of voluntariness. This shortfall could be remedied by putting in place the requisite legal foundations. It remains to be seen what the legislator's decision will be.

# New guideline on data storage/erasure – process to be monitored under data protection legislation

With an annex to the Standard for the Protection of Privacy and Personal Information (ISPPI), NADA has – effective 1 January 2013 – introduced retention periods for the safekeeping and erasure of personal data in anti-doping work. This means that after the retention period in question has elapsed the data concerned must be erased as a matter of routine, unless a longer retention period is mandatory in an individual case, e.g. due to ongoing investigations. In accordance with the provisions of the German Federal Data Protection Act (BDSG), storage after the retention period has elapsed must be regarded as impermissible data processing.

At NADA, it is primarily three departments that are affected by the new guideline: the Legal and Medicine, and the Testing Program. These three had started on data streamlining immediately before and/or after the quideline came into force. The work has been carried out with different intensities; at the end of march 2014 the erasure process has been completed. The Data Protection Officer began his audit checking compliance with the new dataprotection regulations in mid-2013. Subject to a follow-up assessment, all personal data on doping at NADA - in files as well as in documents - were deleted, as long as their retention period had expired in accordance with the new guidelines by the end of 2013. This privacy-friendly result also contributes to the improvement of NADA's work processes- The privacy control concerned only personal data NADA is responsible for, not data in the ADAMS database that is managed by WADA.

# Enhanced data security at NADA

NADA's remit includes the collection and evaluation of personal data, some of them regarded as sensitive. Moreover, NADA operates a much-used web portal. So eve-



ry conceivable effort must be made to safeguard against attacks from both inside and outside the organization, so as to prevent misuse of personal information and any other business secrets. For this purpose, NADA in 2013 commissioned an IT service provider to conduct a datasecurity audit. This job has not yet been completed.

After that, NADA placed an order with another service provider, covering the introduction of a database system that is to replace the heterogeneous IT structure so far in use. This job is scheduled for provisional completion in 2015. The new database structure is a welcome change, not least in the context of data protection legislation, since it firstly will be more user-friendly for NADA's staff, and secondly will be contributing towards avoiding redundant data stocks.

# Report of the Ombudsman for athletes, Prof. Dr. Roland Baar

In 2013, five inquiries were addressed to the Ombudsman for anti-doping matters. One of these resulted in a clarificatory talk at NADA in Bonn. In all other cases, assistance was provided over the telephone, partly by giving the information sought, partly by passing the inquiring party on to other contact persons. What was involved here were cases from both Olympic and non-Olympic sporting disciplines, and the inquirers concerned were both young and adult athletes.

Prof. Dr. Roland Baar

Dr. Wolfgang v. Pommer Esche

# NADA'S COMMISSIONS



NADA's head office

NADA's commissions are voluntary bodies with an advisory function. Their members are acknowledged experts from the academic, political and medical communities, from the teaching professions, from the state judiciary, or they are athletes' representatives (Testing Program), or members of sport federations (Legal Matters). As a rule, they meet once to twice a year. They have no decision-making prerogatives, but restrict their work to giving advice and recommendations.

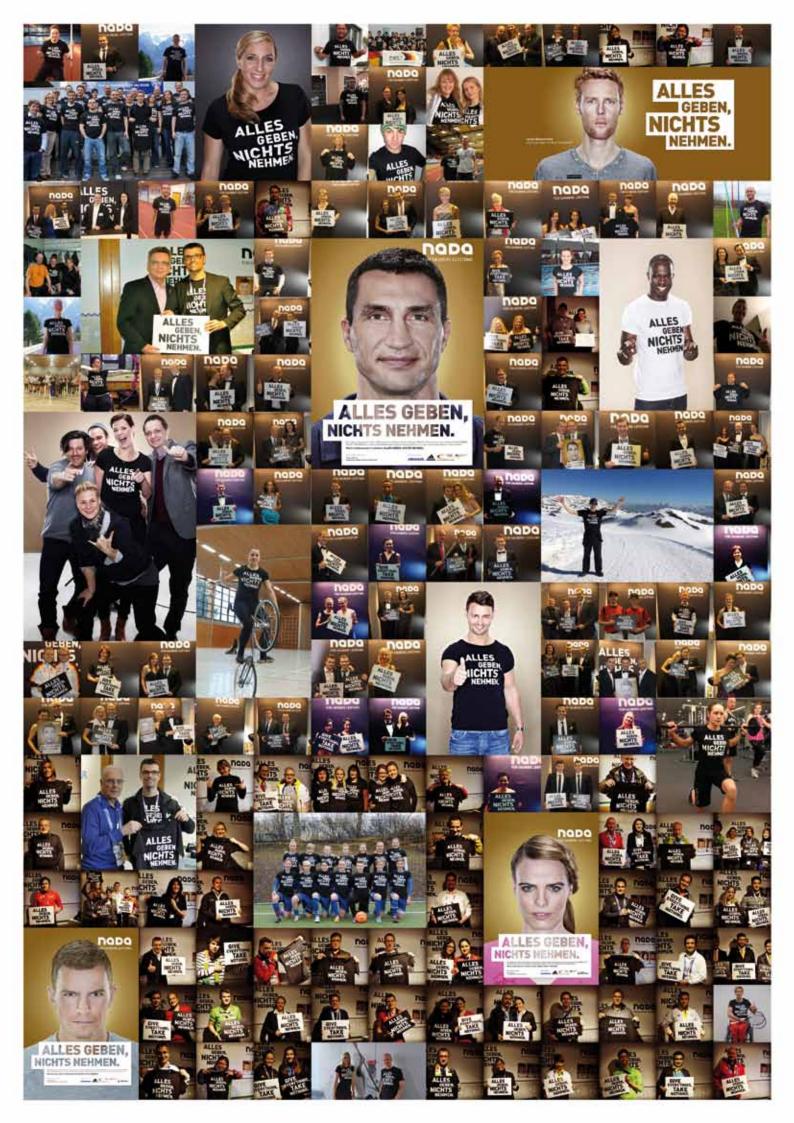
Another voluntary body is the committee for Therapeutic Use Exemptions (TUE Committee), which is part of the Medical Commission and made up of the doctors from this commission. According to the rules laid down by the World Anti-Doping Agency WADA, the committee members must be able to evidence experience in treating and supporting athletes, and in-depth knowledge of clinical and sports medicine, since medical circles not immediately involved in sport-related matters are frequently ignorant or unaware of the doping-relevance of medicines and treatment methods. In order to assure the requisite independence, the majority of committee members are not permitted to have any conflicts of interest with or any political function in NADA.

# "GIVE EVERYTHING, TAKE NOTHING."

FOR CLEAN PERFORMANCE – that is NADA's vision. For this, NADA has launched the initiative "GIVE EVERYTHING, TAKE NOTHING". With the initiative NADA wants to create a platform for everyone who wants to stand up for clean sports. The initiative was launched January 28, 2014 with a press conference in Berlin. NADA's initiative is supported by well-known athletes. IOC-member and athlete representative Claudia Bokel, pole vaulter Silke Spiegelburg, speed skater Anni Friesinger-Postma, cross country skier Tobias Angerer, world champion in boxing Wladimir Klitschko, sprinter Heinrich Popow, beach volleyball champion Jonas Reckermann and footballer Hans Sarpei show their colors for clean sport.

Since the start of the initiative, NADA has launched different activities for clean performance. The initiative was presented at the Ball of Sports in Wiesbaden and at the Olympic Games in Sochi. The first 100 T-Shirts with the initiative-slogan were given away in a drawing and NADA received a lot of pictures of supporters. With a poster campaign with the ambassadors Anni Friesinger-Postma and Wladimir Klitschko NADA drew attention to the initiative in the cities of Berlin, Bonn, Dresden, Frankfurt, Hamburg, Cologne, Munich, Dortmund, Essen and Oberhausen. With the support of the company Otto Bock NADA is as well able to use a banner at Berlin Central Station with all seven ambassadors.

More information on the initiative can be found on the website www.alles-geben-nichts-nehmen.de.



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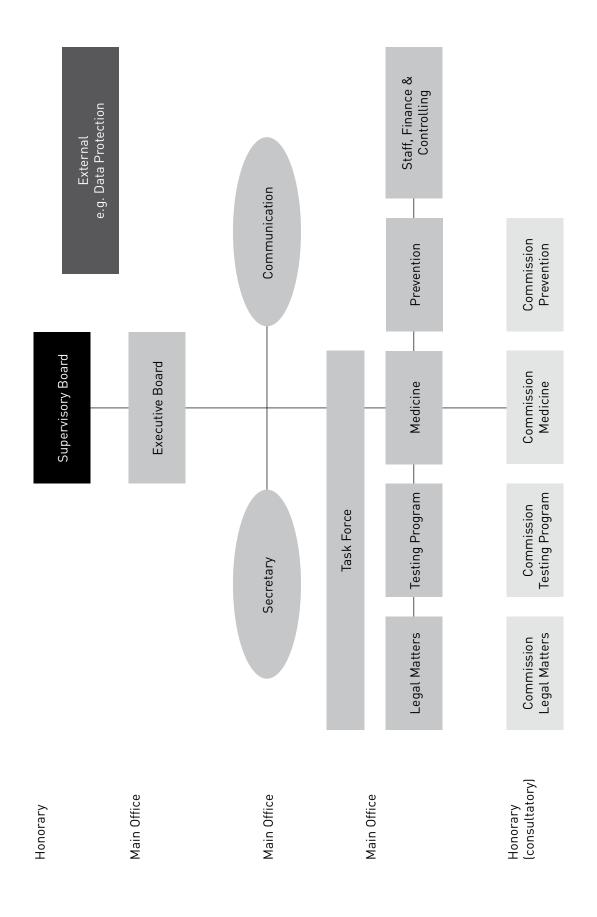
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# **INFORMATION** MATERIAL





National Anti-Doping Code NADC 2009

List of permitted pharmaceuticals



Beispiele für erlaubte Medikas

2014

I am tested

Allergies,

Beachwe Branchitt Beachwe Husten

# GEMEINSAM TRAINERHANDBUCH



Trainers' Manual



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Ein Ratgeber für Eltern junger Athletir Athleten

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